

Global Battery Alliance

Battery Passport development

Phase II



Request for Proposals (RfP)

May 2023

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1. Introduction and background

In 2019 the [Global Battery Alliance](#) (GBA) published '[A vision for a sustainable battery value chain 2030](#)' outlining the need to rapidly scale sustainable, responsible and circular battery value chains as a major driver to meet the Paris Agreement targets. The recently published update to this report '[Battery 2030: Resilient, sustainable and circular](#)' in collaboration with McKinsey, highlights staggering growth forecasts, projecting that the entire lithium-ion (Li-ion) battery chain, from mining through recycling, could grow by over 30 percent annually from 2022 to 2030, when it would reach a value of more than \$400 billion and a market size of 4.7 TWh.

To manage the environmental, social and governance impacts of the rapidly growing industry, transparency and collective multistakeholder engagement and action are vitally important. With that in mind, the GBA conceptualized the [Battery Passport](#) as a framework to increase transparency across the battery value chain. The battery passport establishes a digital twin of the physical battery that conveys information about all applicable sustainability and lifecycle requirements based on a comprehensive definition of a sustainable battery. It aims to bring new levels of transparency to the global battery value chain by collecting, exchanging, collating and reporting trusted data among all lifecycle stakeholders on the material provenance, the battery's chemical make-up and manufacturing history and its sustainability performance.

The GBA's Battery Passport is unique as it is a key instrument to implement a global vision of sustainable, responsible and circular battery value chains, based on data that is standardized, comparable and auditable. Its ultimate goal is to provide end-users with a quality seal based on the battery's sustainability performance, according to reporting rules agreed by stakeholders from industry, academia, non-governmental organizations and government. To demonstrate the practical feasibility of the battery passport, the GBA mobilized members in 2022 covering the entire value chain from mine to vehicle manufacturer to jointly establish [a proof of concept](#). In addition to reporting the technical parameters of the battery, this included the tracking and tracing of materials flows for select value chains, integrated with consistent reporting against the GBA's [Greenhouse Gas rulebook](#) to establish the battery carbon footprint and the [Child Labour](#) and [Human Rights Indices](#) to establish an indicative, differentiated sustainability performance score.

The GBA believes that highly globalized battery value chains demand a truly global multi-stakeholder approach to help shape the battery passport instrument collectively. To design a fully scalable and global battery passport infrastructure requires an ecosystem approach connecting and engaging businesses, IT solution providers, regulators, auditors, public, international and non-governmental organizations. The vision for this ecosystem and the roadmap to build were initially captured by the GBA in 2020. The [launch of the world's first battery passport proof-of concept](#) presents an important milestone demonstrating that our vision is feasible, but it is only the beginning of the battery passport journey.

2. Description of objectives

Following the successful launch of the world's first battery passport proof-of-concept, the GBA is now seeking to recruit (a) professional services firm(s) to support the further refinement and development of the Battery Passport concept and content. The GBA recently convened its board of directors for a two-day retreat in March to discuss and resolve a series of strategic questions vis-à-vis the GBA Battery Passport implementation strategy and positioning in a rapidly evolving and highly dynamic battery passport ecosystem. The outcomes of the strategy retreat have served to directly inform subsequent phases of the battery passport development encapsulated in the below scope of work.

To establish a successful proof-of-concept the GBA prioritized the issues of child labor, human rights due diligence and battery carbon footprint in the Phase I of the battery passport project (2020-2022). This

involved carrying out in-depth and sustained engagement of its members through the greenhouse gas and child labor working groups.

Building on the successful proof of concept, the GBA is now seeking to develop the battery passport into a scalable model, including the identification and prioritized development of the comprehensive ESG risks, impacts and related key sustainability performance indicators. The below work-packages will build on and incorporate [lessons learnt](#) during the delivery of the proof-of-concept pilots and the development process of the Battery Passport's 'lighthouse indicators' comprising of the Greenhouse Gas rulebook and the Child Labour and Human Rights indices. This pertains specifically to the engagement of GBA members from industry, academia, non-governmental and public sector organizations.

GBA multi-stakeholder working groups will continue to play an essential role in raising and validating key sustainability performance expectations captured in the indicator framework. The selected service providers will work closely with the GBA Secretariat team (including the Battery Passport Project Management Office (PMO)) and the Battery Passport Steering Committee to coordinate and align on the most impactful way to engage GBA members throughout the duration of the project. Following contract award and validation of work package one deliverables, the GBA Secretariat is planning to relaunch existing working groups to prepare for and respond to prioritized indicator development.

The scope of work is comprised of two distinct but closely interrelated packages consisting of the following:

WP 1. GBA Battery Passport concept refinement and documentation

Work package one consists of reviewing and updating the existing concept, roadmap and implementation plan and identifying and prioritizing the comprehensive list of ESG risks and impacts and a list of corresponding ESG key performance indicators to be developed. The selected service provider will work on developing a systematic approach to ESG issues assessment and comparison and establish requirements for a fundamental indicator development process and resulting indicator landscape, including necessary standard templates to help standardize deliverables under work package two. Services delivered under work package one will include the development of the GBA Battery Passport quality seal concept, which represents an integral part of the GBA Battery Passport vision. Additionally, this work packages includes a review and update of the "Systems" pillar of Battery Passport concept, including a high-level review of the Battery Passport concept as an ecosystem approach consisting of diverse actors and products and including proposals regarding GBA's position towards those actors and the interfaces among them, especially related to data quality assurance and verification mechanisms.

WP 2. Battery Passport Key Performance Indicators – Content

Building on the identification and prioritization exercise delivered under work package one and utilizing a standardized approach and templates, the selected service provider will carry out a mapping of existing regulatory/ due diligence requirements and widely used standards applicable to battery value chains in selected jurisdictions to establish the compliance baseline for prioritized risks and impacts. For prioritized indicators identified for development, the service provider will carry out stakeholder mapping and develop an engagement strategy for internal and external stakeholder engagement.

Note 1: *The list of indicators to be prioritized should be based but not limited to the current list of ESG indicators provided in Annex 2 – 2021 Indicator long-list . The distribution of indicators to be developed in the scope of work (i.e. environmental, social and governance) is indicative only as the prioritization exercise carried out in work package 1 may result in a different distribution across the top **five – ten** priority indicators to be developed). The exact number of indicators to be developed in WP2 is **a subject to competitive proposal**. Each indicator developed should be approved by the Battery Passport Steering Committee but doesn't demand full buy-in of all the GBA members (detailed alignment is out of this project's scope).*

Note 2: *Public consultation and engagement on the content of the GBA Greenhouse Gas rulebook will continue in parallel throughout 2023 and is not in scope of this request for proposals.*

The Secretariat may in parallel be working with GBA members on phase II piloting which may include elements developed under this request for proposals, but the implementation of pilots is not expected to be in scope of this request for proposals.

3. Scope of work and deliverables

Work package	Expected results (documents, reports, slides, etc.)
1. GBA BP Concept refinement and documentation	
<p>1.1. Review and update existing concept and roadmap of the GBA BP development, including:</p> <ul style="list-style-type: none"> positioning of the GBA BP towards existing and emerging initiatives based on accumulated results and strength of the GBA as an alliance role of the Battery Passport in implementation of the GBA mission product development roadmap and product roll out roadmap 	<ul style="list-style-type: none"> Analysis of pros and cons of existing GBA BP concept and roadmap Global overview of existing and emerging initiatives and interfaces with them Updated concept of the GBA Battery Passport, including: <ul style="list-style-type: none"> role of content / systems / impact pillars and interfaces among them mechanism of creating impact through the passport (e.g. measuring and increasing transparency based on completeness / granularity of data) necessary IT and data inputs to ensure GBA mission Updated high-level roadmaps of passport development and passport roll out
<p>1.2. Identify and prioritize the comprehensive list of ESG issues and a list of corresponding ESG key performance indicators to be developed by the GBA and to be reported by battery value chain companies through the GBA BP</p>	<ul style="list-style-type: none"> A ranked list of ESG issues and corresponding scorable / quantifiable ESG indicators, prioritized by a set of proposed and pre-agreed criteria (saliency, risks, impact, complexity of effort to resolve, etc.) Plan of ESG indicators development (which indicators to start with, which have synergies, how to group development and spread it over time) Engagement strategy for GBA members and working groups for the purposes of prioritization and validation of indicator framework
<p>1.3. Scoring logic: develop a systematic approach to ESG issues assessment and comparison differentiating the stages of measuring-scoring-evaluating-judging and subsequent projecting of individual scores into high-level summarizing metrics, that</p> <ul style="list-style-type: none"> can be coherently applied to all the ESG indicators and allows comparison of individual ESG performance (process by process, company by company, battery by battery, etc.) can be aggregated and consolidated along the value chain 	<ul style="list-style-type: none"> Framework to guide the process of coherent indices development A concept of aggregating of individual ESG performance metrics / scores into aggregated metrics, e.g. individual companies' results across the value chain into product (battery) level score, aggregation of individual company performances across the different ESG indicators, including the applicable algorithms etc. A concept of ESG performance comparison: <ul style="list-style-type: none"> who or what and how to be compared how to compare companies performing several technological steps/ involved in multiple processes across the value chain



Work package	Expected results (documents, reports, slides, etc.)
<ul style="list-style-type: none"> • are production technology and battery chemistry agnostic – can be applied to any current or future technological process of a battery • includes a standards equivalency assessment with certificates companies have obtained as complying with established due diligence frameworks 	
<p>1.4. Establish requirements for each indicator development process and resulting outline, including necessary standard templates (e.g. terms of content of a rulebook, structure of questionnaires, etc.) ensuring:</p> <ul style="list-style-type: none"> • consistency of development process (and updates in future), including mandatory external standard landscape analysis and standard equivalency tool development • comparability of indicators among each other and interoperability with external definitions • comparability of estimated efforts to ensure holistic reporting by value chain companies against indicators 	<ul style="list-style-type: none"> • A guideline (rulebook) on individual indicators development • Templates for individual indicator (where possible) • Concept of “beyond compliance” scoring in case existing external standard do not address the root causes of targeted ESG issues • Translation instruments (e.g. excel models) for automatic standard equivalency
<p>1.5. Develop a concept of GBA BP quality seal:</p> <ul style="list-style-type: none"> • a holistic approach distinguishing ESG performances, scores and metrics (splitting into groups by predefined thresholds, splitting based on actual distribution curves obtained from benchmarks, etc.) • define actors and their roles (who and how issue seals, who and how may verify them, who and how may argue, etc.) • define possible physical implementation, etc. 	<ul style="list-style-type: none"> • A concept describing how the quality seal by the GBA BP would work to support GBA’s 10 principles and delivering the mission to support a responsible and sustainable circular economy. • Process of quality seal issuing • Term of use of a quality seal including possible revenue models for GBA



Work package	Expected results (documents, reports, slides, etc.)
<p>1.6. Review and update existing concept of the GBA BP Systems pillar development, including:</p> <ul style="list-style-type: none"> • scope and boundaries of the GBA Battery Passport ecosystem • role of the GBA and other actors, interfaces between the GBA and other actors (value chain companies, T&T providers, auditors, external platforms, end users etc.) • role and functionality of the GBA analytical platform to ensure passport data analysis • data quality assurance mechanisms to verify the quality of battery passports data, GBA's role in verification processes 	<ul style="list-style-type: none"> • High level architecture of GBA Battery Passport ecosystem, including major data flows, roles and responsibilities of relevant actors • Description of necessary level of standardization to be developed or endorsed by the GBA to ensure technological interoperability among actors • Description of organizational, process and technical mechanisms, ensuring desired level of battery passport data quality, including: <ul style="list-style-type: none"> ○ verification of initial ESG data inputs by reporting entities (scores, metrics, external certificates, etc.) ○ verification of aggregation correctness (aggregation of ESG data with material flow data, aggregation of several companies' data into product level data, etc.) • High level requirements definition for the future IT system accessing data from individual battery passports to enable analysis and insight generation
2. Battery Passport Key Performance Indicators	
<p>2.1. Mapping of existing regulatory/ due diligence requirements and widely used standards applicable to battery value chains in selected jurisdictions to establish compliance baseline (e.g. building on content guidance developed by the Battery Pass Consortium for the EU Battery Regulation).</p>	<ul style="list-style-type: none"> • Building on deliverables 1.2, 1.3. and 1.4. above, map relevant laws and standards related to the salient risks and impacts along the battery value chain • Analysis of provisions describing (prioritized) issues which lead to KPI.
<p>2.2. Carry out stakeholder mapping and develop engagement strategy for internal and external stakeholder engagement</p>	<ul style="list-style-type: none"> • A stakeholder engagement plan, identifying key internal and external stakeholders to engage with during the indicator development phase to help socialize and disseminate the GBA battery passport framework to relevant stakeholders, including an engagement strategy for relevant voluntary sustainability initiatives and standard setters
<p>2.3. Develop prioritized environmental indicators</p>	<p>A set of individual rulebooks / indices that:</p> <ul style="list-style-type: none"> • Are fully aligned with a framework delivered under work package 1.4., especially in terms of standard equivalency



Work package	Expected results (documents, reports, slides, etc.)
2.4. Develop prioritized social indicators	<ul style="list-style-type: none">• Include and build on provisions of rules and standards identified in 2.1. enabling participating entities to demonstrate compliance with applicable laws, regulations, and voluntary standards equivalency in selected jurisdictions• Consolidate horizontal provisions, which apply to multiple KPIs (see GBA Human Rights indices and reference to horizontal provisions)• Include leading practice provisions to address root causes of selected issues to operationalize the GBA ten guiding principles (see GBA Battery Passport Child Labor and Human Rights indices for reference)• Engage with GBA Secretariat, Battery Passport Steering Committee and member working groups to socialize and validate proposed indicators with the broader GBA membership
2.5. Develop prioritized governance indicators	



4. Proposal Guidelines

This Request for Proposal represents the requirements for an open and competitive process. **Proposals will be accepted until June 23, 2023 at 17:00 CEST.** Any proposals received after this date and time will not be accepted. All proposals should include clear timetables, how you will work with GBA, clear costs and detail on experience in this area.

The proposal should give GBA evaluators all the information they need to assess your bid. Please indicate where applicable:

- Clearly state which work packages you are bidding for
- How your proposal is responsive to the Evaluation Criteria defined below;
- The assumptions you are making about the project;
- Risks you have identified and appropriate mitigation measures;
- A detailed financial proposal, including information about how your costs were calculated to enable evaluation of cost reasonableness.
- Proposed implementation timeline;
- Any additional support that you need to make the project a success, including any inputs you will need from third parties or GBA staff;
- Proposed working partnership with GBA, including (as applicable) project governance and management, key personnel, key roles and responsibilities, and escalation procedure for issues;
- Description of experience in:
 - o digital product passports / battery passports concepts and frameworks
 - o responsible sourcing, sustainability reporting and indicators
 - o benchmarking of sustainability performance (data)
 - o EV battery value chains and key risks and impacts
 - o multi-stakeholder engagement, consultation and consensus building
 - o complex project management
 - o scoring methodologies, quality seals and certification
 - o data assurance and verification frameworks
 - o familiarity with material and tracking and traceability tools and technologies
- Summary of technical experience, including descriptions of relevant past work
- Summary of operational capacity (including financial capacity)
- List of key personnel who would be working on the contract, their role in this project, their job titles and their relevant experience and/or skills.

While the GBA will be accepting proposals for individual work packages by specialist service providers, the GBA actively encourages the **forming of consortia** to respond to all stated work packages in an integrated manner and preference will be given to proposals presenting an integrated approach.



5. Evaluation criteria

Evaluation criteria	Weight
Technical proposal <ul style="list-style-type: none">- Number of indicators included and delivered in WP 2.3 – 2.5.- Robustness of approach and methodology- Project management:<ul style="list-style-type: none">o planning and a clear indication of how the professional services firm(s) will deliver products on time and with qualityo coordination among providers (for consortia cases)	60% 20% 20% 20%
Capability and experience of the proposed team <ul style="list-style-type: none">- Including strength / responsiveness of any proposed consortia to expertise / capacity requirements	20%
Financial proposal: <ul style="list-style-type: none">- Economy: minimizing the cost of resources used/ spending less- Efficiency: the relationship between the output from services and the resources to produce them, timeline efficiency (delivering WP-s ahead of suggested project timeline)	20% 10% 10%

6. RfP Timeline

Request for Proposals published	May 22, 2023
Q&A session with potential bidders	June 2 nd , 2023, 16:00 CEST *
Deadline for receiving offers	Jun 23, 2023
Evaluation of proposals	Jun 26 – Jul 07, 2023
Award results announcement	Jul 10, 2023
Standstill period	Jul 10 – Jul 14, 2023
Contracting and project start	Jul 17 – Jul 21, 2023
Deadline for completing the work	Mar 31, 2024

*Advance registration is required to participate in the Q&A session: please register using this link: https://us02web.zoom.us/meeting/register/tZYrdu-hpjspGNO_gLnjUrbgx7ZwJaQ375lC

7. Review and award procedure

The key governance bodies related to the GBA Battery Passport, the request for proposals and the selection of the preferred service provider(s) consists of:

- The Battery Passport Steering Committee
- The GBA Board of Directors

Upon receipt of the technical and financial proposals, the GBA Secretariat will work in close coordination with the Battery Passport Steering Committee to evaluate all submitted and complete technical and



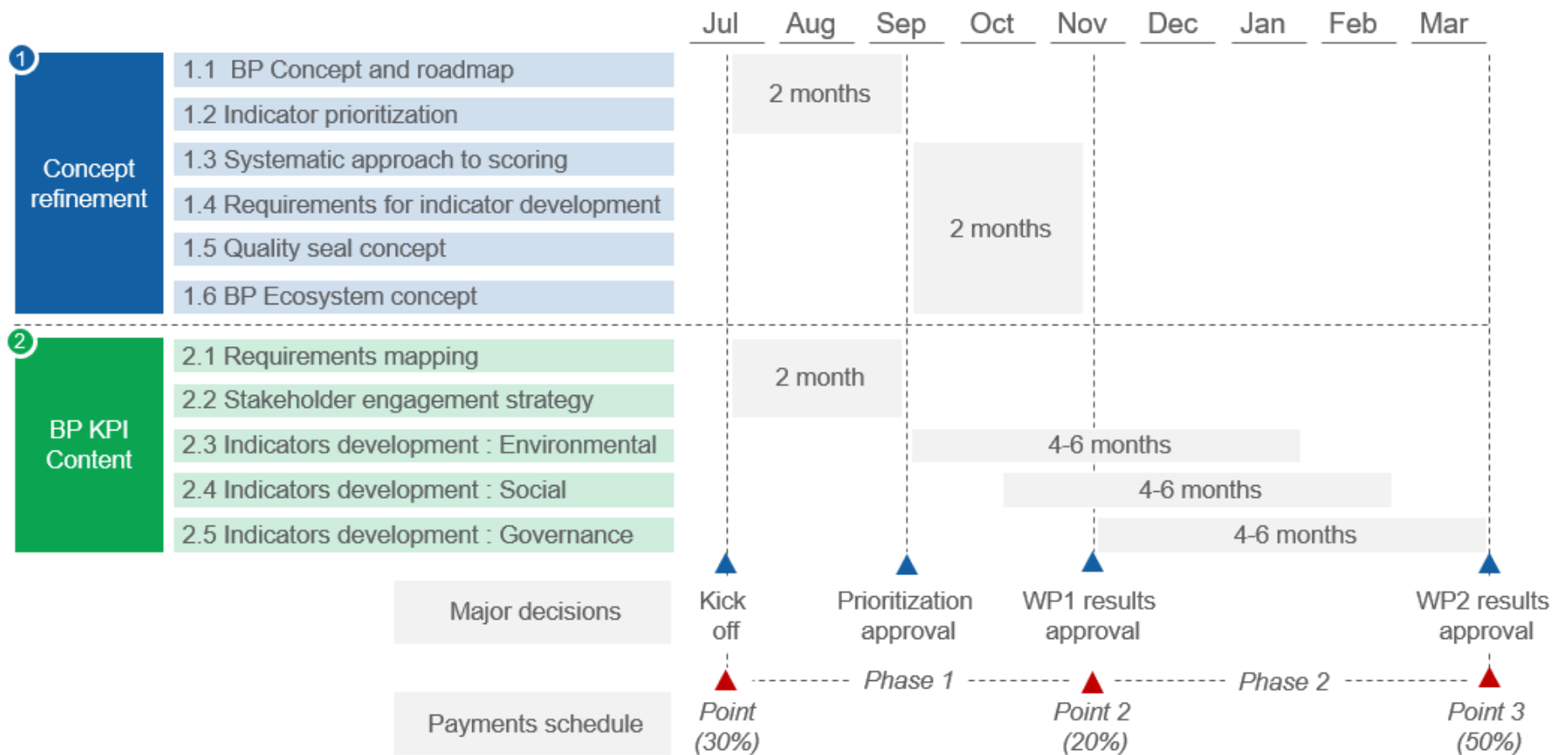
financial proposals against the evaluation criteria. Based on the evaluation, the Steering Committee will make a ranked recommendation to the Board of Directors regarding the preferred service provider(s) and the selection will be confirmed by the Board.



8. Project Timeline

The proposed project timeline implies three major points for deliverables to be approved by the GBA Battery Passport Steering Committee with corresponding milestone payments linked to the delivery: indicators prioritization results, concept refinement (WP1) results, and indicators development (WP2) and overall project results. WP 2.1. and 2.2 may start in parallel with WP 1.1 and 1.2. WP 2.3 – 2.5 should start after prioritization approval checkpoint only.

Note: The exact payment schedule may be subject to negotiation during the contracting stage but will be deliverable based. The total length of phase 2 implementation to deliver WP 2.3 – 2.5 is subject to provider(s) capacity but must be finalized until Mar 31st 2024.





9. General Conditions

Admissibility

Applications must be submitted before the call deadline.

Applications must be submitted electronically via email to secretariat@globalbattery.org stating 'Battery Passport phase II proposal' in the subject of the email.

Applications must be complete and contain all parts and mandatory Annexes and supporting documents. Applications must be readable, accessible and printable.

Eligibility

Entities eligible to participate: Any legal entity, regardless of its place of establishment, including legal entities from third countries or international organizations is eligible to participate, provided that the conditions laid in this request for proposals have been met. A 'legal entity' means any natural or legal person created and recognized as such under national law, EU law or international law, which has legal personality and which may, acting in its own name, exercise rights and be subject to obligations, or an entity without legal personality.

Technology Readiness Levels

Not applicable

Ethics

Projects must comply with ethical principles (including the highest standards of research integrity) and applicable EU, international and national law.

Financial capacity

Applicants must have stable and sufficient resources to successfully implement the projects and contribute their share. Organizations participating in several work packages must have sufficient capacity to implement all these work packages.

Operational capacity

Applicants must have the know-how, qualifications and resources to successfully implement their tasks in the project and contribute their share (including, when appropriate, sufficient experience in international projects of comparable size).

This assessment of operational capacity will be carried out during the evaluation of the proposals. It will be based on the competence and experience of the applicants and their project teams, including their operational resources (human, technical and other). Additional supporting documents may be requested if they are needed to confirm the operational capacity of any applicant.

Exclusion

- bankruptcy, winding up, affairs administered by the courts, arrangement with creditors, suspended business activities or other similar procedures (including procedures for persons with unlimited liability for the applicant's debts);



- they are in breach of social security or tax obligations (including if done by persons with unlimited liability for the applicant's debts);
- they are guilty of grave professional misconduct;
- they are guilty of fraud, corruption, having links to a criminal organization, money laundering, terrorism-related crimes (including terrorism financing), child labour or human trafficking;
- they have shown significant deficiencies in complying with their main obligations under an EU procurement contract, tender agreement, prize, expert contract, or similar;
- they have created under a different jurisdiction an entity with the intent to circumvent fiscal, social or other legal obligations in the country of origin or created another entity with this purpose.

Applicants will also be refused if they have:

- misrepresented the information required as a condition for participating in the procedure or have failed to supply that information

10. Project Budget

You must include adequate information about how your costs were calculated (itemized) to enable evaluation of cost reasonableness.

- The budget should clearly demonstrate how much time will be spent by one or more team members working on the project. Who will be spending what time on each of the deliverables
- Please also include copy editing in the budget.
- **The total budget available for this work (all work packages) is a maximum of 1 million USD**

Payment will be made according to meeting project milestones as approved by the GBA point of contact. Travel is not expected for this work therefore there will be no additional travel costs or expenses paid unless pre-approved by the GBA point of contract prior to incurring the cost. Please include the need/ or no need for VAT in the budget breakdown - where relevant. If required, this can be discussed further once the supplier is confirmed. All proposals must include proposed costs to complete the tasks described in the project scope, including all VAT and taxes. Costs should be stated as one-time or non-recurring costs or monthly recurring costs. Pricing should be listed for each of the following items in accordance with the format below. All costs incurred in connection with the submission of this RfP are non-refundable by GBA.

11. Submissions

Each Potential Supplier must submit 1 copy of their proposal to the email address below by June 23, 2023, 17.00 pm CEST:

Contact for any questions:

Yana Goretaya, Head of Members Engagement and Operations, Global Battery Alliance
ygoretaya@globalbattery.org

Anonymized responses to questions will be provided here: <https://www.globalbattery.org/publications/> when the Q&A period closes.



12. Conflict of interest

The Consultant/ service provider is required to provide professional, objective, and impartial advice, at all times holding the Global Battery Alliance's interests paramount, strictly avoiding conflicts with other assignments or its own corporate interests, and acting without any consideration for future work.

The Consultant has an obligation to disclose to the Global Battery Alliance (GBA) any situation of actual or potential conflict that impacts its capacity to serve the best interest of the GBA. Failure to disclose such situations may lead to the disqualification of the Consultant or the termination of its Contract and/or sanctions by the GBA.

Without limitation on the generality of the foregoing, the Consultant shall not be hired under the circumstances set forth below:

a. Conflicting Activities

(i) Conflict between consulting activities and procurement of goods, works or non-consulting services: a firm that has been engaged by the GBA to provide goods, works, or non-consulting services for a project, or any of its Affiliates, shall be disqualified from providing consulting services resulting from or directly related to those goods, works, or non-consulting services. Conversely, a firm hired to provide consulting services for the preparation or implementation of a project, or any of its Affiliates, shall be disqualified from subsequently providing goods or works or non-consulting services resulting from or directly related to the consulting services for such preparation or implementation.

b. Conflicting Assignments

(ii) Conflict among consulting assignments: a Consultant (including its Experts and Sub-consultants) or any of its Affiliates shall not be hired for any assignment that, by its nature, may be in conflict with another assignment of the Consultant for the same or for another Client.

c. Conflicting Relationships

(iii) Relationship with the Client's staff: a Consultant (including its Experts and Sub-consultants) that has a close business or family relationship with a professional staff or members of the GBA Battery Passport Steering Committee or Board of Directors who are directly or indirectly involved in any part of (i) the preparation of the Terms of Reference for the assignment, (ii) the selection process for the Contract, or (iii) the supervision of the Contract, may not be awarded a Contract, unless the conflict stemming from this relationship has been resolved in a manner acceptable to the GBA throughout the selection process and the execution of the Contract.

13. Disclaimer

The GBA will not accept any liability or be responsible for any costs incurred by Potential Suppliers in preparing a response for this RFP. Neither the issue of the RFP, nor any of the information presented in it, should be regarded as a commitment or representation on the part of GBA (or any of its partners) to enter into a contractual arrangement. Nothing in this RFP should be interpreted as a commitment by GBA to award a contract to a Potential Supplier as a result of this procurement, nor to accept the lowest price or any tender.



Annex 1: Conflict of Interest Declaration Form

This Declaration must be completed by an authorised signatory of the supplier. This Declaration must be completed for each contract, prior to execution of the contract, even if the GBA has previously engaged the supplier.

The purpose of this Declaration is to identify conflicts of interest (potential, perceived or actual) that may exist between the supplier and the supplier's representatives and employees and the GBA at the time of the appointment and thereby protect the supplier and the GBA from allegations of bias or preferential treatment and to assist the GBA to operate in a transparent manner.

This Declaration requires that the supplier identifies organisational level interests (for example previous dealings with the GBA) and, where relevant the personal interests of key staff including: company owner/s, director/s and staff who are directly involved in the contract negotiation, management and delivery of the contract requirements. Suppliers: When determining if a conflict of interest exists consider if your organisation and key staff:

- Has or could be perceived to have any political, legal, financial or personal interest in the contract with the GBA that may affect the GBA's reputation or the way it is perceived
- Could give the appearance that you might be conflicted with the contract or engagement with the GBA
- Has any obligations, loyalties or bias that could influence or affect the way you deliver the requirements of your contract/engagement with the GBA
- Knows any GBA members or employee involved in this contract that has an ownership or financial interest in the Supplier you represent. Or that the GBA employee or member would have a personal financial gain or other benefit from this contract or engagement

Period of Declaration: _____

Declaration

With regards to my proposed service as a supplier of the GBA, this is to certify that I, except as described below, am not now nor at any time during the past year have been:

- A participant, directly or indirectly, in any arrangement, agreement, investment, or other activity with any vendor, supplier, or other party doing business with the GBA which has resulted or could result in personal benefit to me.
- A recipient, directly or indirectly, of any salary payments or loans or gifts of any kind or any free service or discounts or other fees from or on behalf of any person or organisation engaged in any transaction with the GBA.
- I do not know of any potential or actual conflict of interest situations between myself, including persons affiliated to me and GBA

Any exceptions to 1, 2 or 3 above is stated below with a full description of the transactions and of the interest, whether direct or indirect, which I have (or have had during the past year) in the person or organisations have transactions with GBA.



I have the following potential conflict of interest to declare:

	Area of Conflict of interest	Details
1	Affiliation to another company/organisation	

Affiliated refers to the following:

- Spouse, domestic partner, child, mother, father, brother, or sister or close associates;
- any corporation, business, or non-profit organisation of which you are a staff, officer, Board Member, partner, or participate in the management or are employed by;
- any trust or other estate in which you have substantial interest or as to which you serve as a trustee or in a similar capacity.

	Area of Conflict	Details
2	Affiliation to any vendor, supplier, or any other party providing or bidding for providing services with the GBA, having a direct or indirect interest in any business transaction(s), agreement, or investment	
3	Having any business dealings or transactions with a vendor, supplier or any other party could benefit me. I or the person(s) I am affiliated with is interested in purchasing services from the GBA.	
4	Affiliated to any staff or Board Members of the GBA.	
5	Affiliated to any person(s) who is involved in/is a party to or have an interest in any pending legal proceedings involving the GBA.	
6	Other interests:	

*Please attach a separate sheet if space is insufficient

Please elaborate on the potential conflict arising from the above situation with regards to the transaction concerned (e.g., nature of service/ transaction, if affiliated person involved, the identity of the affiliated person and your relationship with that person):

I hereby confirm that:

- The disclosures made above are complete and correct to the best of my knowledge and belief.
- I agree that should any conflict-of-interest situation arise in the course of my service, will abstain from participating in the discussion, decision making and/or voting (where applicable) on the said matter.

I will notify the GBA Secretariat immediately if I become aware of any information that might indicate that this disclosure is inaccurate or that I have not complied with the conflict-of-interest policy.








Signature	Name and Designation	Date



Annex 2 – 2021 Indicator long-list

In 2021 the GBA has started the work on issues and indicators prioritization with a list of 29 potential indicators.

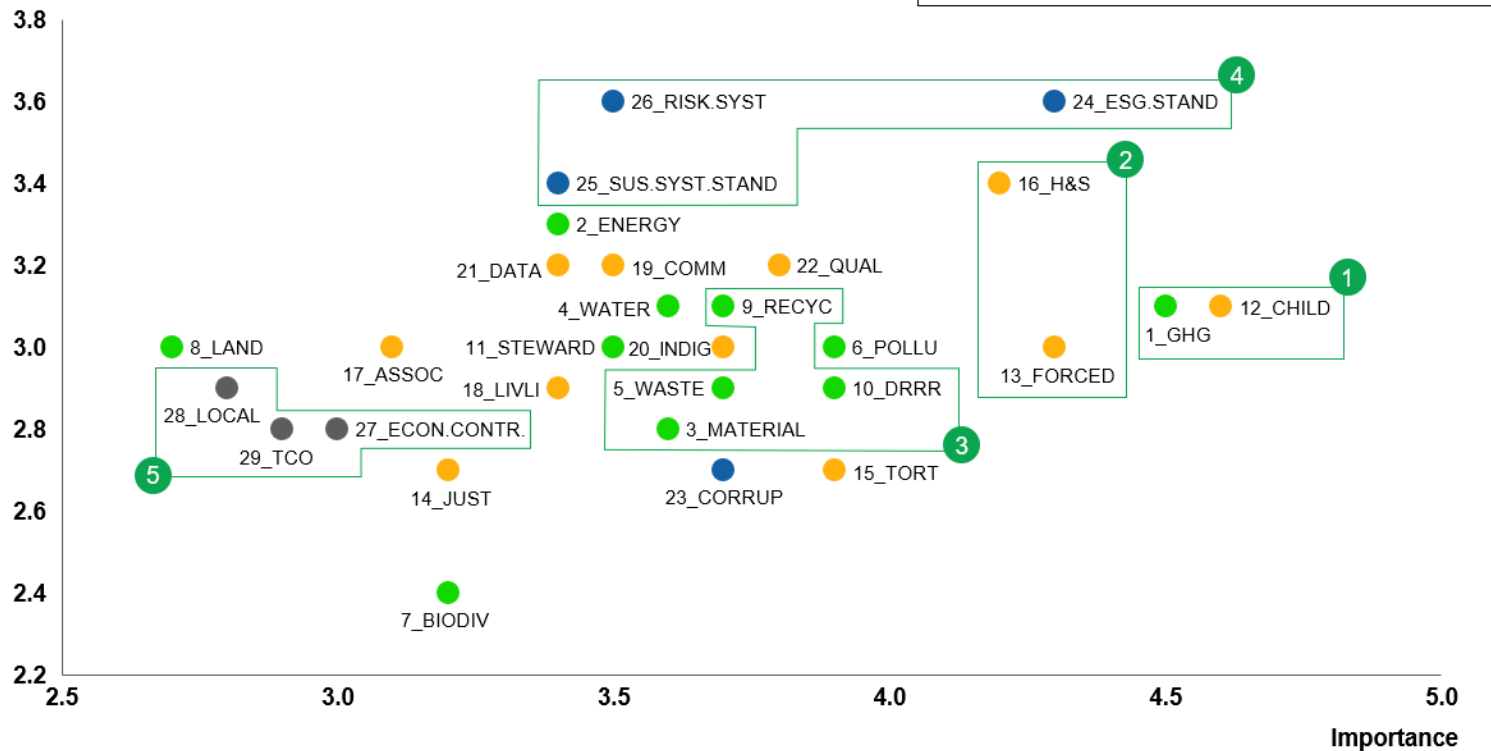
An initial long-list of 29 indicators

Sustainability indicators for battery assessment (derived from interviews and literature review)			
 Environmental	 Social	 Governance	 Economic
Energy and GHG 1. GHG emissions/global warming potential 2. Energy mix Materials, water and waste 3. Material consumption/depletion 4. Water management (usage, recycling, depletion) 5. Waste management (generation, recycling/reuse) Environmental degradation 6. Pollution (air, water, soil) 7. Biodiversity loss 8. Land use/conversion Circularity 9. Share of recycled content 10. Design for Durability, Reparability, Reusability, Recyclability 11. Product stewardship programs	Dignity and equality 12. Child labor 13. Forced labor 14. Justness (Income equality, diversity, inclusion) 15. Torture, cruel, inhuman and degrading treatment Health and Safety 16. Workers health and safety Employment conditions 17. Freedom of association and collective bargaining 18. Livelihood attainment Communities 19. Local community involvement 20. Respect for indigenous peoples rights Customer 21. Data security and privacy 22. Product quality and safety	Business ethics 23. Occurrence of corruption and bribery Compliance 24. Compliance with environmental and social standards 25. Presence and quality of sustainability management systems 26. Presence and quality of risk management systems	Local economy 27. Contribution to local economic development 28. Local supplies and employment Product cost 29. Total cost of ownership (consumer)
 List derived from initial literature review and ~15 interviews			

The full process of choosing and prioritizing indicators is described in the [article](#)

Quantitative survey results for 'importance' and 'ease of implementation' dimension enable a preliminary prioritization for the next steps of the battery passport

Ease of implementation



Observations

- 1 Current focus on child labor and greenhouse gas emissions confirmed
- 2 "Labor rights" as natural next priority cluster in social dimension
- 3 "Circularity" indicators with potential to bundle next wave of environmental indicators
- 4 Governance systems with potential to ease BP implementation
- 5 Economic indicators deprioritized for the battery passport